



AUDIT

OF

INFORMATION AND COMMUNICATION TECHNOLOGY GOVERNANCE

IN

UNDP

Report No. 2015
Issue Date: 16 January 2019

Report on the Audit of Information and Communication Technology Governance Executive Summary

The UNDP Office of Audit and Investigations (OAI) conducted an audit of UNDP's information and communication technology governance (ICT governance) from 10 to 27 September 2018. The audit aimed to assess the adequacy and effectiveness of the governance, risk management and control processes relating to the following areas and sub-areas:

- (a) Strategic alignment
- (b) Risk management
- (c) Value delivery
- (d) Performance measurement
- (e) Resource management

The audit covered the activities regarding ICT governance from 1 January 2017 to 31 July 2018. The last audit regarding ICT governance was conducted by OAI in 2007.

The audit was conducted in conformance with the *International Standards for the Professional Practice of Internal Auditing*.

Overall audit rating

OAI assessed UNDP's ICT governance as **partially satisfactory/some improvement needed**, which means, "The assessed governance arrangements, risk management practices and controls were generally established and functioning, but need some improvement. Issues identified by the audit do not significantly affect the achievement of the objectives of the audited entity/area."

Key recommendations: Total = 6, high priority = 0

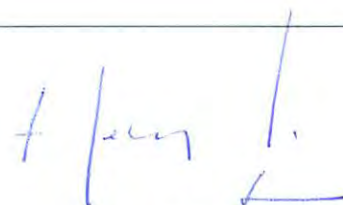
The audit did not result in any high (critical) priority recommendations. There are six medium (important) priority recommendations, which means "Action is required to ensure that UNDP is not exposed to risks. Failure to take action could result in negative consequences for UNDP." These recommendations include actions to address: the lack of an approved long-term ICT strategy, unfilled key positions, enhancement of the ICT Governance Group, weaknesses in risk management, and weaknesses in planning of ICT projects/initiatives.

The six recommendations aim to ensure the following: (a) achievement of the organization's strategic objectives (Recommendations 1, 2 and 3) and effectiveness and efficiency of operations (Recommendations 4, 5 and 6).

Management comments and action plan

The Officer-in-Charge of the Office of Information Management and Technology, the Assistant Administrator and Director of the Bureau for Management Services, and the Chief of Staff and Director of the Executive Office accepted all of the recommendations and are in the process of implementing them. Comments and/or additional information provided have been incorporated in the report, where appropriate.

Low risk issues (not included in this report) have been discussed directly with management and actions have been initiated to address them.

A handwritten signature in blue ink, appearing to read 'Helge S. Osttveiten', is enclosed within a rectangular box.

Helge S. Osttveiten
Director
Office of Audit and Investigations